

TABLE OF CONTENTS

Chapter 1: Resident Assessment Instrument (RAI)

1.1	Overview	1-5
1.2	Content of the RAI for Nursing Homes	1-5
1.3	Completion of the RAI	1-6
1.4	Problem Identification Using the RAI	1-8
1.5	MDS 3.0	1-11
1.6	Components of the MDS	1-12
1.7	Layout of the RAI Manual	1-13
1.8	Protecting the Privacy of the MDS Data	1-15

Chapter 2: Assessments for the Resident Assessment Instrument (RAI)

2.1	Introduction to the Requirements for the RAI	2-1
2.2	State Designation of the RAI for Nursing Homes	2-1
2.3	Responsibilities of Nursing Homes for Completing Assessments	2-2
2.4	Responsibilities of Nursing Homes for Reproducing and Maintaining Assessments	2-6
2.5	Assessment Types and Definitions	2-7
2.6	Required OBRA Assessments for the MDS	2-14
2.7	The Care Area Assessment (CAA) Process and Care Plan Completion	2-39
2.8	The Skilled Nursing Facility Medicare Prospective Payment System Assessment Schedule	2-40
2.9	MDS Medicare Assessments for SNFs	2-45
2.10	Combining Medicare Scheduled and Unscheduled Assessments	2-56
2.11	Combining Medicare Assessments and OBRA Assessments	2-60
2.12	Medicare and OBRA Assessment Combinations	2-62
2.13	Factors Impacting the SNF Medicare Assessment Schedule	2-74
2.14	Expected Order of MDS Records	2-78
2.15	Determining the Item Set for an MDS Record	2-81

Chapter 3: Overview to the Item-by-Item Guide to the MDS 3.0

3.1	Using this Chapter	3-1
3.2	Becoming Familiar with the MDS-recommended Approach	3-2
3.3	Coding Conventions	3-3
Section A	Identification Information	A-1
Section B	Hearing, Speech, and Vision	B-1
Section C	Cognitive Patterns	C-1
Section D	Mood	D-1
Section E	Behavior	E-1
Section F	Preferences for Customary Routine and Activities	F-1
Section G	Functional Status	G-1
Section H	Bladder and Bowel	H-1
Section I	Active Diagnoses	I-1
Section J	Health Conditions	J-1
Section K	Swallowing/Nutritional Status	K-1
Section L	Oral/Dental Status	L-1
Section M	Skin Conditions	M-1
Section N	Medications	N-1
Section O	Special Treatments, Procedures, and Programs	O-1
Section P	Restraints	P-1
Section Q	Participation in Assessment and Goal Setting	Q-1

Section S	(Reserved).....	S-1
Section V	Care Area Assessment (CAA) Summary	V-1
Section X	Correction Request.....	X-1
Section Z	Assessment Administration	Z-1

Chapter 4: Care Area Assessment (CAA) Process and Care Planning

4.1	Background and Rationale.....	4-1
4.2	Overview of the Resident Assessment Instrument (RAI) and Care Area Assessments (CAAs).....	4-1
4.3	What Are the Care Area Assessments (CAAs)?	4-2
4.4	What Does the CAA Process Involve?	4-3
4.5	Other Considerations Regarding Use of the CAAs.....	4-6
4.6	When Is the RAI Not Enough?	4-7
4.7	The RAI and Care Planning.....	4-8
4.8	CAA Tips and Clarifications	4-11
4.9	Using the Care Area Assessment (CAA) Resources.....	4-12
4.10	The Twenty Care Areas.....	4-16
4.11	(Reserved).....	4-41

Chapter 5: Submission and Correction of the MDS Assessments

5.1	Transmitting MDS Data	5-1
5.2	Timeliness Criteria.....	5-2
5.3	Validation Edits.....	5-4
5.4	Additional Medicare Submission Requirements that Impact Billing Under the SNF PPS	5-5
5.5	MDS Correction Policy.....	5-7
5.6	Correcting Errors in MDS Records That Have Not Yet Been Accepted Into the QIES ASAP System.....	5-8
5.7	Correcting Errors in MDS Records That Have Been Accepted Into the QIES ASAP System	5-10
5.8	Special Manual Record Correction Request	5-13

Chapter 6: Medicare Skilled Nursing Facility Prospective Payment System (SNF PPS)

6.1	Background	6-1
6.2	Using the MDS in the Medicare Prospective Payment System	6-1
6.3	Resource Utilization Groups Version IV (RUG-IV)	6-2
6.4	Relationship between the Assessment and the Claim.....	6-5
6.5	SNF PPS Eligibility Criteria	6-22
6.6	RUG-IV 66-Group Model Calculation Worksheet for SNFs	6-23
6.7	SNF PPS Policies	6-52
6.8	Non-compliance with the SNF PPS Assessment Schedule.....	6-53

Appendices

Appendix A:	Glossary and Common Acronyms	A-1
Appendix B:	State Agency and CMS Regional Office RAI/MDS Contacts.....	B-1
Appendix C:	Care Area Assessment (CAA) Resources	C-1
Appendix D:	Interviewing to Increase Resident Voice in MDS Assessments	D-1
Appendix E:	PHQ-9 Scoring Rules and Instruction for BIMS (When Administered In Writing)	E-1
Appendix F:	MDS Item Matrix.....	F-1
Appendix G:	References.....	G-1
Appendix H:	MDS 3.0 Forms	H-1